

08-06-01

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/811,362	03/16/2001	William Michael Zintel	MSI-785US

Lee & Hayes, PLLC
Suite 500
421 W. Riverside Avenue
Spokane, WA 99201

CONFIRMATION NO. 7731

FORMALITIES LETTER



OC00000006330810

Date Mailed: 07/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/08/2001 BABRAWA1 00000075 09811362

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:103	468.00 OP
03 FC:102	480.00 OP
04 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$948.
 - \$468 for 26 total claims over 20.
 - \$480 for 6 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1788.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 1,918.00

Complete if Known

Application Number	09/811,362
Filing Date	3/16/01
First Named Inventor	Zintel et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	MSI-785US

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

12-0769

Deposit
Account
Name

Lee & Hayes, PLLC

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
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101	710	201 355	Utility filing fee
106	320	206 160	Design filing fee
107	490	207 245	Plant filing fee
108	710	208 355	Reissue filing fee
114	150	214 75	Provisional filing fee

Fee Paid

710

SUBTOTAL (1) (\$) 710.00

2. EXTRA CLAIM FEES

Total Claims	46	Extra Claims	26	Fee from below	18	Fee Paid	468
Independent Claims	9	- 3** =	6		80		480
Multiple Dependent							

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
---------------	---------------	---------------	---------------	-----------------

103	18	203 9		Claims in excess of 20
102	80	202 40		Independent claims in excess of 3
104	270	204 135		Multiple dependent claim, if not paid
109	80	209 40		** Reissue independent claims over original patent
110	18	210 9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 948.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
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105	130	205 65		Surcharge - late filing fee or oath
127	50	227 25		Surcharge - late provisional filing fee or cover sheet
139	130	139 130		Non-English specification
147	2,520	147 2,520		For filing a request for ex parte reexamination
112	920*	112 920*		Requesting publication of SIR prior to Examiner action
113	1,840*	113 1,840*		Requesting publication of SIR after Examiner action
115	110	215 55		Extension for reply within first month
116	390	216 195		Extension for reply within second month
117	890	217 445		Extension for reply within third month
118	1,390	218 695		Extension for reply within fourth month
128	1,890	228 945		Extension for reply within fifth month
119	310	219 155		Notice of Appeal
120	310	220 155		Filing a brief in support of an appeal
121	270	221 135		Request for oral hearing
138	1,510	138 1,510		Petition to institute a public use proceeding
140	110	240 55		Petition to revive - unavoidable
141	1,240	241 620		Petition to revive - unintentional
142	1,240	242 620		Utility issue fee (or reissue)
143	440	243 220		Design issue fee
144	600	244 300		Plant issue fee
122	130	122 130		Petitions to the Commissioner
123	50	123 50		Processing fee under 37 CFR 1.17(q)
126	180	126 180		Submission of Information Disclosure Stmt
581	40	581 40		Recording each patent assignment per property (times number of properties)
146	710	246 355		Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249 355		For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279 355		Request for Continued Examination (RCE)
169	900	169 900		Request for expedited examination of a design application

Other fee (specify)

Fee Paid

130

130

SUBTOTAL (3) (\$) 260.00

SUBMITTED BY

Name (Print/Type)	Leah Lee	Registration No. (Attorney/Agent)	34,658	Telephone	509 324-9256
Signature	Lee			Date	Aug. 2, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EL792822295



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/811,362
Filing Date 3/16/2001
Inventorship Zintel et al.
Applicant Microsoft Corporation
Attorney's Docket No. MS1-785US
Title: An XML-Based Template Language for Devices and Services

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231
From: Lewis C. Lee (Tel. 509-324-9256; Fax 509-323-8979)
Customer No. 22801



22801
PATENT TRADEMARK OFFICE

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Notice to File Missing Parts of Application
3. Executed Declarations (3)
4. Fee Transmittal
5. Check #1363 in the amount of \$1,918.00
6. Return Post Card
7. Petition for Filing by Other Than All the Inventors Under 37 CFR 1.47(a) w/attachments

Large Entity Status [x] Small Entity Status []

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: Aug 2, 2001

By:

Lewis C. Lee
Reg. No. 34,656

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable)

EL792822295

Date: Aug 2, 2001

By:

Cheryl K. Boies